for the month of **31-OCT-16**

of M/s. Gul Dhami Securities (Pvt) Ltd

Submission Date 16-NOV-2016 11:40:14

			_	
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assats			
	Assets	0	0	
	Property & Equipment		ű	
1.2	Intangible Assets Investment in Govt. Securities (150,000*99)	20,206,426 4,000,000		
	Investment in Debt. Securities	4,000,000	0	
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	-	
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.	0		
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	8,439,750		
1.5	Investment in Equity Securities	0,100,700	1,200,000	7,170,707
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	5,395,500	809,325	4,586,175
	respective securities whichever is higher.	, ,	,	, ,
1.6	ii. If unlisted, 100% of carrying value.	0		
1.6	Investment in subsidiaries	0	0	
''	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	whichever is higher.	0	0	
	ii. If unlisted, 100% of net value.	0		
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,130,116	1,130,116	
1.9	or any other entity. Margin deposits with exchange and clearing house.	0	0	
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	0		
1.11	Other deposits and prepayments	1,068,441	1,068,441	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	0	0	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
1.13	Dividends receivables.	0	-	
1.14	Amounts receivable against Repo financing.	0	0	
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) Receivables other than trade receivables	0	0	
	Receivables from clearing house or securities exchange(s)	0	0	
1.10	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	0	0	
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	0	0	
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	0	0	
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	146,443	0	146,443
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	0	0	
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.18	Cash and Bank balances			
	I. Bank Balance-proprietory accounts II. Bank balance-customer accounts	566,427 28,339,182		,
	iii. Cash in hand	20,339,182	0	
1.19	Total Assets	69,292,285		
	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	0	0	
	ii. Payable against leveraged market products	0	0	
2.2	iii. Payable to customers	22,488,229	0	22,488,229
2.2	Current Liabilities	0		
	i. Statutory and regulatory dues ii. Accruals and other payables	655,774		
	m. recidas and other payables	000,774	. 0	000,774

for the month of **31-OCT-16**

of M/s. Gul Dhami Securities (Pvt) Ltd

Submission Date 16-NOV-2016 11:40:14

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
B.NO.	nead of Account	Pak Rupee	Adjustments	Value
	iii. Short-term borrowings	0	0	
	iv. Current portion of subordinated loans	0		
	v. Current portion of long term liabilities	0		
	vi. Deferred Liabilities vii. Provision for bad debts	0	0	
	viii. Provision for taxation	689,396	0	
	ix. Other liabilities as per accounting principles and included in the financial statements	0		,
	Non-Current Liabilities			
	i. Long-Term financing	0	0	
	ii. Staff retirement benefits	0	0	
	iii. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4	Subordinated Loans			
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
2.5	Total Liabilites	23,833,399	0	23,833,399
3	Ranking Liabilities Relating to:			
-	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the	0	0	
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL			
	(Ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	0	0	
	price:	Ĭ	Ŭ	
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments	0	0	
	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
	exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
	assets denominated in foreign currency less total liabilities denominated in foreign currency	0	0	
	Amount Payable under REPO Repo adjustment	0	0	
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market	0	0	
	value of underlying securites.	0	O	
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions	_		
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	0	
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount	0	0	
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the	0	0	
	extent not already met			
	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of	0	0	
	customers after increasing the same with the VaR based haircuts less the cash deposited by the		ا	
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet	0	0	
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.		0	
	Total Ranking Liabilites	0		
3.12	Liquid Capital	45,458,886	24,480,271	16,978,615

for the month of 30-NOV-16

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-DEC-2016 14:16:23

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	20,206,426	20,206,426	
1.2	Intangible Assets	4,000,000	4,000,000	
1.3	Investment in Govt. Securities (150,000*99) Investment in Debt. Securities	0	0	
'	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.		4 005 000	7 170 70
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities	8,439,750	1,265,963	7,173,787
1.0	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	5,927,500	889,125	5,038,375
	respective securities whichever is higher.	5,62.,666		0,000,070
1.6	ii. If unlisted, 100% of carrying value. Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,130,116	1,130,116	
	or any other entity.	1,100,110		
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments	1,343,300	1,343,300	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	555,194	0	555,194
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market			
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	747,108		747,108
	ii. Bank balance-customer accounts iii. Cash in hand	26,238,600	0	26,238,600
1.19	Total Assets	68,587,994	28,834,930	39,753,064
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	21,037,204	0	21,037,204
2.2	Current Liabilities			
	i. Statutory and regulatory dues	CEE 775		CEE 775
	ii. Accruals and other payables	655,775	0	655,775

for the month of 30-NOV-16

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-DEC-2016 14:16:23

			Page	2 Of 2
s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts	772 222	0	772 222
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements	773,323	U	773,323
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	ii. Staff retirement benefits			
	iii. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	22,466,302	0	22,466,302
3	Ranking Liabilities Relating to :	,,		,,
3.1	Concentration in Margin Financing			
0.1	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
0.0	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription			
	price;			
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7	Repo adjustment			
0	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securities.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser.			
3.0	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selli positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilities	0	0	
3.12	Liquid Capital	46,121,692	28,834,930	17,286,762
	Liquiu Capitai		, , , , , ,	

for the month of **31-DEC-16**

of M/s. Gul Dhami Securities (Pvt) Ltd

Submission Date 16-JAN-2017 16:53:08

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	20,206,426	20,206,426	
1.2	Intangible Assets	4,000,000	4,000,000	
1.3	Investment in Govt. Securities (150,000*99)			
1.4	Investment in Debt. Securities			
	If listed than: 1. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	8,439,750	1,265,963	7,173,787
1.5	Investment in Equity Securities	7.004.400	1 225 115	
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	7,234,100	1,085,115	6,148,985
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	4 400 000	4 400 000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	1,130,000	1,130,000	
1.9	Margin deposits with exchange and clearing house.			
1.10 1.11	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	1,381,705	1,381,705	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,361,703	1,361,705	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			-
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			<u>_</u>
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	467,351	0	467,351
	iv. Balance sheet value	407,331	Ŭ	407,001
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market			
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	1,350,036	0	1,350,036
	ii. Bank balance-customer accounts	27,874,742	0	27,874,742
1 10	iii. Cash in hand	70 004 440	29,069,209	42 04 4 004
1.19	Total Assets	72,084,110	29,069,209	43,014,901
2.1	Liabilities Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers Current Liabilities	19,343,418	0	19,343,418
2.2	i. Statutory and regulatory dues			
	ii. Accruals and other payables	655,775	0	655,775

for the month of **31-DEC-16**

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 16-JAN-2017 16:53:08

## Struct-term transporting Camering training of subardinated isons Camering training				Page	2 Of 2
Counting portion of subcrimented constructions 1. Exercise to a subcrimented construction of the s	S.No.	Head of Account			Net Adjusted Value
Counting portion of subcrimented constructions 1. Exercise to a subcrimented construction of the s		iii Short-term horrowings			
Causert portion of borus term isolatilities VI. Deteroid bibilities VII. Deteroid bibilities VII. Deteroid bibilities VII. Deteroid in control of the contr					
# Provision for based double will Provision for transation or transation		v. Current portion of long term liabilities			
Will Horiston for Instantion 837,824 0 837,824 0 837,824 1.					
is content babilities as per accounting principles and included in the financial statements 1. Soft intervient Labellities			007.004	0	007.004
2.3 Non-Current Liabilities Licrog-Lem financing 1. Suffiretivement benefits 2. Characterisement benefits 3. Other betabutes as per accounting principles and included in the financial statements 3. Characterisement benefits 3. Characterisement benefits 3. Characterisement benefits 3. Characterisement benefits 3. Subcridinated learns which furtil the conditions specified by SCP are allowed to be deducted 3. Subcridinated learns which furtil the conditions specified by SCP are allowed to be deducted 3. Subcridinated learns which furtil the conditions specified by SCP are allowed to be deducted 3. Subcridinated learns which don't furtil the conditions specified by SCP are allowed to be deducted 3. Ranking Liabilities Relating to: 2. Total Liabilities 3. Ranking Liabilities Relating to: 3. Concentration in Margin financing 4. Property of the property of the financial section of the subscription price. 2. Property of the financial section of the subscription price, shi of the financial multiplied by the undownling commitments and (i) the section of the subscription price, shi of the financial multiplied by the undownling commitments and (ii) the section of the subscription price, shi of the financial multiplied by the section of the subscription price, shi of the financial multiplied by the section of the subscription price, shi of the subscription price, shi of the financial multiplied by the section of the subscription price, shi of the subscription price, shi of the subscription price, shi of th			837,924	U	837,924
Long-Term Financing 1. Other installities as per accounting principles and included in the financial statements 2.4 Subcontinated Loans 2.5 Subcontinated loans which fulfill the conditions specified by S.C.P are allowed to be deducted 3. Subcontinated loans which fulfill the conditions specified by S.C.P 2.5 Total Liabilities 3. Subcontinated loans which for third the conditions specified by S.C.P 2.6 Total Liabilities 3. Subcontinated loans which on trullif the conditions specified by S.C.P 3. Subcontinated loans which on third the conditions specified by S.C.P 3. Concentration in Margin Financing 3. Rear Margin Liabilities Belating to: 3. Concentration in Margin Financing 3. Rear Margin Liabilities Belating to: 3. Concentration in Margin Financing 3. Rear Margin Liabilities Belating to: 3. Rear Margin Liabilities Liabilities Belating to: 3. Rear Margin Liabilities Belating to: 3. Rear Margin Liabilities	2.3				
Staff retirement benefits Staff retirement Staff retirement benefits Staff retirement Staff retiremen					
Subordinated Loans 1:00s of Subordinated loans which do not fulfill the conditions specified by SLCP are allowed to be deducted 1:00s of Subordinated loans which do not fulfill the conditions specified by SLCP 1:00s of Subordinated loans which do not fulfill the conditions specified by SLCP 2:00s of Subordinated loans which do not fulfill the conditions specified by SLCP 3:10s of Subordinated loans which do not fulfill the conditions specified by SLCP 3:10s of Subordinated loans which do not fulfill the conditions specified by SLCP 3:10s of Subordinated loans which do not fulfill the conditions specified by SLCP 3:10s of Subordinated loans which do not fulfill the conditions specified by SLCP 3:10s of Subordinated loans which shows a subordinated loans specified by SLCP 3:10s of Subordinated loans specified specified by SLCP 3:10s of SLCP					
10% of Subcominated learn which fulfill the conditions specified by SECP are allowed to be deducted		iii. Other liabilities as per accounting principles and included in the financial statements			
E. Subcridinated Loans which do not fulfill the conditions specified by SECP 20,837,117 0 20,837,117 3 3 Ranking Liabilities Relating to :	2.4				
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settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. 3.11 Total Ranking Liabilities 0 0		customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
after applying haircuts. 3.11 Total Ranking Liabilities 0 0					
3.11 Total Ranking Liabilites 0 0					
Total Halling Elastines	3,11		0	0	
Liquid Capital					
	5.12	Liquid Capital	51,£10,000	20,000,200	

for the month of 31-JAN-17

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-FEB-2017 15:39:31

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	20,206,426	20,206,426	
1.2	Intangible Assets	4,000,000	4,000,000	
1.3	Investment in Govt. Securities (150,000*99)			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	8,439,750	1,265,963	7,173,787
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	8,110,800	1,216,620	6,894,180
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,130,000	1,130,000	
1.0	or any other entity.			
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments	1,510,443	1,510,443	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	538,234	0	538,234
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	0	0	
	value of securities purchased for customers and held in sub-accounts after applying VAR based		Ŭ	
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	1,128,472	0	1,128,472
	ii. Bank balance-customer accounts	40,816,906	0	40,816,906
1.19	iii. Cash in hand Total Assets	85,881,031	29.329.452	56,551,579
2	Liabilities	30,001,001	20,020,702	30,301,079
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	1		
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers	31,374,349	0	31,374,349
2.2	Current Liabilities i. Statutory and regulatory dues	-		
	ii. Accruals and other payables	655,775	0	655,775
	,	, 333,110		333,. 19

for the month of 31-JAN-17

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-FEB-2017 15:39:31

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation	913,105	0	913,105
	ix. Other liabilities as per accounting principles and included in the financial statements	0.10,100	Ū	0.10,100
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	ii. Staff retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	32,943,229	0	32,943,229
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;			
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
2.5	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received , less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	52,937,802	29,329,452	23,608,350

for the month of 31-MAR-17

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 17-APR-2017 13:58:13

Fullsted than:	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.3 Interface of the control of the	1	Assets			
1.2 Interrigible Assets	1.1	Property & Equipment	20,206,426	20,206,426	
1.4 (First of the balance sheet value in the case of tenure upto 1 year. 1.7 (First of the balance sheet value in the case of tenure upto 1 year. 1.7 (First of the balance sheet value in the case of tenure from 1.1 years. 1.8 (First of the balance sheet value in the case of tenure than 1 years. 1.9 (First of the balance sheet value in the case of tenure than 1 years. 1.9 (First of the balance sheet value in the case of tenure upto 1 years. 1.1 (First of the balance sheet value in the case of tenure than 1 years. 1.1 (First of the balance sheet value in the case of tenure upto 1 years. 1.1 (First of the balance sheet value in the case of tenure upto 1 years. 1.1 (First of the balance sheet value in the case of tenure upto 1 years. 1.2 (First of the balance sheet value in the case of tenure upto 1 years. 1.3 (First of the balance sheet value in the case of tenure upto 1 years. 1.4 (First of the balance sheet value in the case of tenure upto 1 years. 1.5 (First of the balance sheet value in the case of tenure upto 1 years. 1.6 (First of the balance sheet value in the case of tenure upto 1 years. 1.7 (First of the balance sheet value in the case of tenure upto 1 years. 1.8 (First of the balance sheet value in the case of tenure upto 1 years. 1.9 (First of the balance sheet value in the case of tenure upto 1 years. 1.0 (First of the balance sheet value in the case of tenure upto 1 years. 1.1 (First of 20% of value of active properties of tenure upto 1 years. 1.2 (First of 20% of value of active properties of tenure upto 1 years. 1.1 (First of 20% of value of active properties of tenure upto 1 years. 1.2 (First of 20% of value of active properties of tenure upto 1 years. 1.3 (First of 20% of value of active properties of tenure upto 1 years. 1.4 (First of 20% of value of active properties of tenure upto 1 years. 1.5 (First of 20% of value of active properties of tenure upto 1 years. 1.6 (First of 20% of years.) 1.7 (First of 20% of years.) 1.7 (First of 20% of years.) 1.8 (First of 20% o	1.2	Intangible Assets	4,000,000	4,000,000	
Illisted than Loss of the balance sheet value in the case of tenure upto 1 year.					
Lists of the balance sheet value in the case of tenue spin 1-3 years. Li 75% of the balance sheet value, in the case of tenue spin 1-3 years. Li 75% of the balance sheet value, in the case of tenue spin 1-3 years. But 10% of the balance sheet value in the case of tenue spin 1-3 years. Li 15% of the balance sheet value in the case of tenue spin 1 years. Li 15% of the balance sheet value in the case of tenue spin 1 years. Li 15% of the balance sheet value in the case of tenue spin 1 years. Li 15% of the balance sheet value in the case of tenue spin 1 years. Li 15% of the balance sheet value in the case of tenue spin 1 years. Li 15% of the balance sheet value in the case of tenue spin 1-3 years. Li 15% of the balance sheet value, in the case of tenue spin 1 years. Li 15% of the balance sheet value, in the case of tenue of more than 3 years. Li 15% of the balance sheet value, in the case of tenue of more than 3 years. Li 15% of the balance sheet value, in the case of tenue of more than 3 years. Li 15% of the balance sheet value, in the case of tenue of more than 3 years. Li 15% of the balance sheet s	1.4				
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Full is defined than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1955 of the balance sheet value in the case of foruse upto 1 year			8,439,750	843,975	7,595,775
II 125% of the belance sheet value in the case of tenue from 1-3 years					
Ill 15% of the balance sheet value. In the case of tenure of more than 3 years.		ii. 10% of the balance sheet value in the case of tenure upto 1 year.			
Filiated 15% or VaR of such securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher in the control of the cont					
sepective securities whichever is highes: ii. If united, 100% of cerviny value, 1.6. Investment in absociated companies/undertaking 1.7. Investment in absociated companies/undertaking 1.8. Investment in absociated companies/undertaking 1.9. Investment in absociated companies/undertaking 1.9. Investment in absociated companies/undertaking 1.9. Statutory or regulatory deposits seaso computed by the Securities exchange for respective securities which content in the security of the securities of the securities and the securities of the securiti	1.5				
iii fundisted 100% of carrying value. 1. Investment in absolicated companies/undertaking			11,406,500	1,710,975	9,695,525
Investment in authoritations					
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Iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. Vi. 100% haircut in the case of amount receivable form related parties.		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Cash in hand 1.19 Total Assets 99,071,337 29,585,614 69,485 2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to customers 42,472,123 0 42,472 2.2 Current Liabilities			54,586	0	54,586
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18					
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Bank balance-customer accounts iii. Cash in hand 1.19 Total Assets 1.19 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to customers 42,472,123 0 42,472 2.2 Current Liabilities					
Vi. 100% haircut in the case of amount receivable form related parties.		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 9,667,714 0 9,667 ii. Bank balance-customer accounts 42,472,123 0 42,472 iii. Cash in hand 99,071,337 29,585,614 69,485 2 Liabilities 1 <td></td> <td>securities neig as collateral after applying var based naircuts. vi. 100% haircut in the case of amount receivable form related parties.</td> <td></td> <td></td> <td></td>		securities neig as collateral after applying var based naircuts. vi. 100% haircut in the case of amount receivable form related parties.			
i. Bank Balance-proprietory accounts 9,667,714 0 9,667 ii. Bank balance-customer accounts 42,472,123 0 42,472 iii. Cash in hand	1.18				
III. Cash in hand		i. Bank Balance-proprietory accounts			
1.19 Total Assets 99,071,337 29,585,614 69,485 2 Liabilities 99,071,337 29,585,614 69,485 2.1 Trade Payables 1. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 42,472,123 0 42,472 2.2 Current Liabilities 42,472,123 0 42,472			42,472,123	0	42,472,123
2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 42,472,123 0 42,472 2.2 Current Liabilities	1.19		99.071.337	29.585.614	69,485,723
2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 42,472,123 Current Liabilities			33,3,307	20,000,011	35, .55, .25
i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities					
ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities 42,472,123 42,472,123 42,472					
2.2 Current Liabilities		ii. Payable against leveraged market products			
Can on Lacinites	2.2		42,472,123	0	42,472,123
	2.2	i. Statutory and regulatory dues			
			655,775	0	655,775

for the month of **31-MAR-17**

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 17-APR-2017 13:58:13

			_	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation	999,243	0	999,243
	ix. Other liabilities as per accounting principles and included in the financial statements	,		,
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	ii. Staff retirement benefits			
2.4	iii. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.1	i.100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	· ,			
2.5	Total Liabilites	44,127,141	0	44,127,141
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price;			
	the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security Opening Positions in futures and options			
3.7	i. In case of customer positions, the total margin requiremnets in respect of open positions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
2 10	extent not already met			
3.10	Short selli positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts.	0	0	
3.11	Total Ranking Liabilities			
3.12	Liquid Capital	54,944,196	29,000,014	20,000,002

for the month of 31-MAY-17

of M/s. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-JUN-2017 16:22:14

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	20,206,426	20,206,426	
1.2	Intangible Assets	4,000,000	4,000,000	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	8,439,750	843,975	7,595,775
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	13,586,000	2,037,900	11,548,100
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
1./	provided that shares have not been alloted or are not included in the investments of securities broker.			
1.6	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	4 400 000	4 400 000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	1,130,000	1,130,000	
1.9	Margin deposits with exchange and clearing house.			
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	4 000 407	4 000 407	
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,889,487	1,889,487	
	etc.(Nil)			
1 1 2	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1 1 5	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.15	ii. Short ferm Loan to Employees: Loans are secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	00.440		00.440
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	68,410	0	68,410
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market			
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	4,578,779	0	4,578,779
	ii. Bank balance-customer accounts iii. Cash in hand	24,020,113	0	24,020,113
1.19	Total Assets	77,918,965	30,107,788	47,811,177
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	24,020,113	0	24,020,113
	pini a gabio to castorrios	27,020,110	0	27,020,110

for the month of **31-MAY-17**

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-JUN-2017 16:22:14

			Page	
s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
2.2	Current Liabilities			
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	655,775	0	655,775
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements	1,104,151	0	1,104,151
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP	25,780,039	0	25,780,039
2.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilites	25,780,039	0	25,780,039
	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to:	25,780,039	0	25,780,039
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	25,780,039	0	25,780,039
3	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing	25,780,039	0	25,780,039
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of:	25,780,039	0	25,780,039
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL	25,780,039	0	25,780,039
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of:	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	25,780,039	0	25,780,039
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.	25,780,039	0	25,780,039
3 3.1 3.2	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of	25,780,039	0	25,780,039
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting	25,780,039	0	25,780,039
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of	25,780,039	0	25,780,039
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	25,780,039	0	25,780,039
3.1 3.2 3.3	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	25,780,039	0	25,780,039
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions	25,780,039	0	25,780,039
3.1 3.2 3.3	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total	25,780,039	0	25,780,039
3.1 3.2 3.3	ii. Subordinated loans which do not fulfill the conditions specified by SECP Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions	25,780,039	0	25,780,039
3.3 3.1 3.2 3.3 3.4	Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency	25,780,039	0	25,780,039
3.1 3.2 3.3 3.4 3.5	Total Liabilities Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (ii) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Correctly positions % of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market	25,780,039	0	25,780,039
3.1 3.2 3.3 3.4 3.5	Total Liabilites Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency. Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.	25,780,039	0	25,780,039
3.1 3.2 3.3 3.4 3.5	Total Liabilites Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client - to - client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.	25,780,039	0	25,780,039
3.1 3.2 3.3 3.4 3.5	Total Liabilites Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency. Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.	25,780,039		25,780,039
3.1 3.2 3.3 3.4 3.5	Total Liabilites Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency Amount Payable under REPO Repo adjustment In the case of financeekeller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after	25,780,039		25,780,039

for the month of **31-MAY-17**

of Ms. Gul Dhami Securities (Pvt) Ltd

Submission Date 15-JUN-2017 16:22:14

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security . If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	52,138,926	30,107,788	22,031,138